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PTO/SB/21 (08-03)

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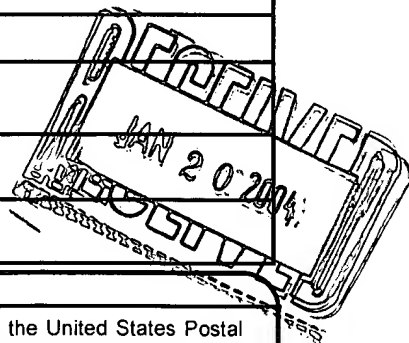
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/547,183	
	Filing Date	April 11, 2000	
	First Named Inventor	Elley et al.	
	Art Unit	Not yet assigned	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	5+ reps	Attorney Docket Number	SMY-017.01 (25087-1701)

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449 listing refs. AA-BN; Copies of listed refs. AA-BN; and Return postcard.
Remarks		Customer Number : 25181

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jeffrey M. Sears
Signature	
Date	January 13, 2004



CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	John Barretto		
Signature		Date	January 13, 2004

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UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Elley et al.) Group Art Unit: Not yet assigned
Serial No.: 09/547,183) Examiner: Not yet assigned
Filed: April 11, 2000) Attorney Docket No.: SMY-017.01
For: *Method and System for Pro-Active*) (25087-1701)
Credential Refreshing)

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56 and 1.97

Dear Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their Attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents (References AA-BN) are also being submitted. Applicants respectfully request that the Examiner consider the listed documents and indicate they were considered by making appropriate notations on the attached Form PTO-1449.

In compliance with the requirements of 37 C.F.R. §§ 1.56 and 1.97, Applicants have cited for the Examiner's consideration co-pending U.S. patent applications that are owned at least in part by the assignee of this application and that describe subject matter related to the present application. The co-pending applications are listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than Form PTO-1449 or PTO/SB/08A format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered by the Examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above."

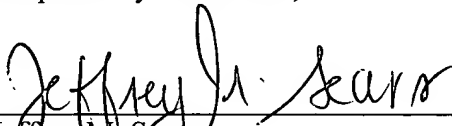
No copies of the co-pending applications have been provided. If the Examiner wishes to have copies of the co-pending applications, the Examiner should contact the Attorney of record.

Our Docket #	Serial #	Date Filed	Title
SMY-005.01	09/307,953	May 10, 1999	Method and System for Presentation of Non-Revocation Certificates
SMY-012.01	09/309,045	May 10, 1999	Method and System for Dynamic Issuance of Group Certificates
SMY-013.01	09/310,165	May 10, 1999	Method and System for Proving Membership in a Nested Group Using Chains of Credentials
SMY-016.01	09/596,914	June 20, 2000	Method and System for use of Wild Card Names in Access Control Policies and Group Membership Lists

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the claimed subject matter over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

No fee is believed due. If a fee is occasioned or if extensions of time are necessary, the Commissioner is authorized to charge any fee deficiency or credit overpayment to our **Deposit Account No. 06-1448, Ref. SMY-017.01.**

Respectfully Submitted,



Jeffrey M. Sears
Reg. No. 48,440

Date: January 13, 2004

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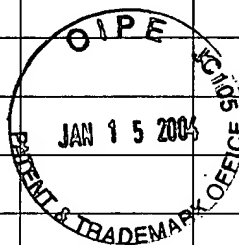
Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Optional)
SMY-017.01 (25087-1701)Application Number
09/547,183Applicant
Elley et al.Filing Date
April 11, 2000Group Art Unit
Not Yet Assigned**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,173,939	12/22/92	Abadi et al.			
AB	5,224,163	06/29/93	Gasser et al.			
AC	5,261,002	11/09/93	Perlman et al.			
AD	5,283,830	02/01/94	Hinsley et al.			
AE	5,315,657	05/24/94	Abadi et al.			
AF	5,586,260	12/17/96	Hu, Wei-Ming			
AG	5,764,772	06/09/98	Kaufman et al.			
AH	5,901,227	05/04/99	Perlman			
AI	5,991,807	11/23/99	Schmidt et al.			
AJ	6,079,020	06/20/00	Liu, Quentin C.			
AK	6,138,235	10/24/00	Lipkin et al.			
AL	6,158,011	12/15/00	Chen et al.			
AM	6,212,634	04/03/01	Geer et al.			
AN	6,216,231	04/10/01	Stubblebien			
AO	6,223,291	04/24/01	Puhl et al.			
AP	6,301,658	10/09/01	Koehler			
AQ	6,308,274	10/23/01	Swift, Michael M.			
AR	6,366,913	04/02/02	Fitler et al.			
AS	6,370,648	04/09/02	Diep			
AT	6,397,329	05/28/02	Aiello et al.			
AU	6,438,690	08/20/02	Patel et al.			
AV	US-2002/0144149 A1	10/03/02	Hannah et al.			
AW	6,496,858	12/17/02	Frailong et al.			
AX	US-2003/0041141 A1	02/27/03	Abdelaziz et al.			
AY	US-2003/0028585 A1	02/06/03	Yeager et al.			
AZ	US-2003/0055898 A1	03/20/03	Yeager et al.			



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BA	US-2003/0055894 A1	03/20/03	Yeager et al.	
BB	5,220,604	06/15/93	Gasser	
BC	6,088,805	07/11/00	Davis et al.	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
BD	WO 98/10381	12 Mar. 98	PCT			RECEIVED JAN 16 2004 Technology Center 2100	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages Etc.)

BE	Gaul et al., "Mining Generalized Association Rules for Sequential and Path Data," 2001, <i>IEEE</i> , pp. 593-596					
BF	Kaufman, Charlie et al., "Network Security, Private Communication in a Public World," PTR Prentice Hall, 1995, chapters 5, 7 and 8 pp. 129-161, and pp. 177-222					
BG	Kaufman, Charlie et al., "Network Security, Private Communication in a Public World," PTR Prentice Hall, 1995, pp. 455-459					
BH	Micali, "Enhanced Certificate Revocation System," MIT Laboratory for Computer Science					
BI	Micali, "Efficient Certificate Revocation," MIT Laboratory for Computer Science" (March 22, 1996)					
BJ	Myers et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol OCSP," (September, 1998)					
BK	Morrissey, P., "Demystifying Crisco Access Control Lists," April 1998, <i>Network Computing</i> , pp. 116, 118 and 120					
BL	Rivest, "Can We Eliminate Certificate Revocation Lists?" MIT Laboratory for Computer Science					
BM	Ryutov et al., "Access Control Framework for Distributed Applications," <i>USC/Information Sciences Institute</i> (August 7, 1998)					
BN	Woo et al., "A Framework for Distributed Authorization" November 1993, ACM 1 st Conference Computer and Communication Security, pg 112-118.					

EXAMINER		DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.